

Mitigation Progress

On target



Short term delay



Significant delay

**Dorset & Wiltshire Fire and Rescue Authority****Strategic Risk Register – March 2021**

Risk Ref	Risk Name	Risk Description	Responsible Officer	Residual Risk/With Controls			Target Risk Score			Risk Strategy	Risk Mitigations These actions are monitored through Service performance reporting protocols	Direction of Risk	Mitigation Progress
				Impact	Likelihood	Score	Impact	Likelihood	Score				
0006	Failure to secure financial sustainability that ensures and maintains effective service provision	Ongoing uncertainty of funding of the Service and current delivery model is increasingly difficult through securing financial efficiencies alone. Significant financial cuts would challenge levels of service. With changes to service delivery likely to be needed to secure further reductions in spending plans to set balanced budgets over the medium term.	Treasurer	5	4	20	4	4	16	Treat	<p>A Medium-Term Finance Plan (MTFP) that supports the delivery of the Community Safety Plan.</p> <p>A Reserves Strategy to support the MTFP and Strategic Assessment of Risk.</p> <p>High standards of financial governance.</p> <p>A strategy to secure council tax flexibility.</p> <p>Principles of efficiency and value for money are embedded in decision making, planning and reporting Service wide.</p>	↑	

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0009	Failure to have a robust and financially sustainable on-call duty system to meet the needs of the Service.	<p>The emergency response of the Service is predominantly satisfied by on-call firefighters.</p> <p>The Service needs to ensure that appropriate arrangements are in place to manage the establishment levels, recruitment and retention to successfully meet response standards and community needs.</p> <p>Insufficient arrangements could result in a delay, or failure to, meet statutory duties.</p> <p>This would have a negative effect on the communities and open the Authority to scrutiny and adverse financial, legal, political and reputational impacts.</p>	Director of Community Safety	4	4	16	4	3	12	Treat	<p>Strategic workforce and succession planning arrangements are in place, well understood and are effectively linked to our financial plans.</p> <p>Resourcing and savings programme options are in place to meet the financial pressures outlined in the Medium-Term Finance Plan.</p> <p>Scheduled evaluation of the impact of the newly introduced on-call pay model.</p> <p>Prioritised recruitment against contractual gaps at key stations.</p> <p>Streamlining of recruitment and processes that support retention.</p>	→	

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301	Failure to protect the Service against cyber threats and attacks	Under the Civil Contingencies Act 2004, the Service is required to have robust and resilient business continuity arrangements in place. ICT security arrangements are central to the Service delivering this and maintaining its critical functions. Both nationally and locally there is an increase in threats of cyber-attacks to organisations. The Service needs to ensure full alignment to new security standards to help mitigate cyber risk and demonstrate compliance to external organisations.	Deputy Chief Fire Officer (DCFO)	4	4	16	4	3	12	Treat	<p>Cyber security arrangements are in place to manage cyber risks.</p> <p>Delivery of Cyber Essentials Accreditation in progress.</p> <p>Cyber resilience action plan in place.</p> <p>Bi-Annual staff awareness e-learning.</p>	↓	

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412	Failure to sufficiently resource potential changes to the fire safety and building safety regimes	<p>The proposed changes to legislation (the Building Safety Bill and the Fire safety Bill) will have a significant effect on the Service.</p> <p>Phase 1 of the Grenfell Tower Inquiry identified a number of challenges for how we deliver prevention, protection and response functions.</p> <p>The Service needs to ensure appropriate arrangements are in place to respond to these changes and the new legislative requirements.</p>	Director of Community Safety	4	4	16	4	3	12	Treat	<p>Workforce and succession planning arrangements are in place.</p> <p>Sufficiently trained staff are available to deliver against a wider inspection programme and competency framework.</p> <p>A robust training regime and budget is available to deliver against the competency framework.</p> <p>Sufficient capacity to respond to the building safety programme, legislative framework and recommendations of Phase 2 of the Grenfell Tower Inquiry.</p>	↑	

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413	Failure to appropriately plan, respond and work within the requirements and restrictions of this winter's preparedness and response	<p>As the global Coronavirus pandemic goes into its second peak and the country is facing further lock down measures, additional risks are creating a 'perfect storm' over the winter months. This collectively includes the second wave of the pandemic, winter seasonal flu, severe weather and the additional challenge of the European Union exit.</p> <p>This risk continues to be realised and is being actively managed by the Service, supported by multi-agency working. However, this risk has the potential to impact the delivery of the Service's statutory duties, along with a realistic requirement of increased capacity requests from our communities and partners.</p>	Deputy Chief Fire Officer (DCFO)	4	5	20	3	5	15	Treat	<p>Major incident declared and the Service is an active member of the Strategic Co-ordinating Groups and associated sub-groups within both Local Resilience Forums</p> <p>Area Manager assigned to multi-agency liaison role.</p> <p>Service pandemic business continuity plans, degradation arrangements and working strategy in place .</p> <p>Smarter working arrangements enabling staff to complete their roles from home.</p> <p>Service premises aligned to Government directives to ensure they are, as much as possible, COVID secure.</p> <p>Additional PPE precautions for critical work.</p> <p>Service activities adjusted with full and appropriate risk assessments.</p> <p>Enhanced Service wellbeing arrangements.</p>	→	

Group/Department Risks

Group/Department	Risk Score		Total
	1 – 8	9 – 12	
Response (Groups 1-4)	1	2	3
Assets	2	1	3
Diversity and Inclusion	0	1	1
Financial Services	3	0	3
Health & Safety	2	0	2
HR	1	2	3
ICT	2	1	3
Information, Knowledge & Communications	1	2	3
Learning and organisational development	1	4	5
Prevention & Protection	3	1	4
Procurement	2	0	2
Resilience	3	9	12

Group/Department	Risk score		Total
	1 - 8	9 - 12	
Response Development & Response Support	5	0	5
Strategic Planning and Corporate Assurance	1	0	1
Safeguarding	0	1	1
Total	27	24	51