



**DORSET & WILTSHIRE
FIRE AND RESCUE
AUTHORITY**

Item 20/24

MEETING	Finance & Audit Committee
DATE OF MEETING	17 September 2020
SUBJECT OF THE REPORT	Internal Audit Progress Report
STATUS OF REPORT	For open publication
PURPOSE OF REPORT	For review and approval
EXECUTIVE SUMMARY	<p>This report provides Members with an update on the progress of work against the outstanding internal audit recommendations.</p> <p>Positive progress continues to be made against the actions identified.</p> <p>There are delays to three of the recommendations due to the coronavirus pandemic.</p> <ul style="list-style-type: none"> - On-call systems project completion schedule - Procurement card holder limit review - Procurement process and segregation of duties. <p>Detail on progress is provided within appendix A - Audit Improvement Plan Activities.</p>
RISK ASSESSMENT	Progress remains largely on track and therefore the risk to the Authority continues to be well managed.
COMMUNITY IMPACT ASSESSMENT	None for the purposes of this report
BUDGET IMPLICATIONS	None for the purposes of this report
RECOMMENDATION	<p>Members are asked to:</p> <ol style="list-style-type: none"> 1. Review and approve the progress made.

BACKGROUND PAPERS	None for the purposes of this report
APPENDIX	Appendix A - Audit Improvement Plan Activities
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1. Introduction

- 1.1 At each meeting, the Authority's internal auditors present their findings following an agreed programme of quarterly audits.
- 1.2 To support this, the auditors' findings are aligned to improvement activities to strengthen and resolve the identified issues, and these form the basis of the Service's Audit Improvement Plan.
- 1.3 This report contains progress against each of the improvements within the Plan.

2. Improvement areas

- 2.1 A number of recommendations have been identified within the audits. However, none have been judged to represent a significant risk to the Authority.
- 2.2 All recommendations have been recorded within appendix A, Audit Improvement Plan Activities, where details of the Service's progress have been set out.
- 2.3 The Service continues to review the audit improvement activities and provides a focus on those reported with delays, to ensure appropriate support is provided for their successful delivery.
- 2.4 As previously agreed, once considered by Members, those activities from the previous quarter's report which were reported as complete will be removed from subsequent reports.

3. Summary and key points

- 3.1 The Service continues to positively progress the audit improvements with progress of the improvement plan being continually monitored by Directors within their directorate team meetings.
- 3.2 The Service has experienced a delay to the completion of three recommendations as a result of the coronavirus pandemic.
- 3.3 The Finance & Audit Committee will receive an updated report at their next meeting on 4 December 2020, which will include progress made on the outstanding audit improvements.

September 2020