



**DORSET & WILTSHIRE
FIRE AND RESCUE
AUTHORITY**

Item 17/20

MEETING	Finance, Governance & Audit Committee
DATE OF MEETING	7 July 2017
SUBJECT OF THE REPORT	Progress against audit recommendations
STATUS OF REPORT	For open publication
PURPOSE OF REPORT	For consideration and approval
EXECUTIVE SUMMARY	This report provides Members with an update on the progress of work against the recommendations made by Internal Audit, Gateway Assure.
RISK ASSESSMENT	Although a number of recommendations have been identified none were judged to represent a significant risk to the Authority.
COMMUNITY IMPACT ASSESSMENT	None for the purposes of this report
BUDGET IMPLICATIONS	None for the purposes of this report
RECOMMENDATIONS	Members are asked to: 1. Review and note the progress made towards completing the agreed improvement activities.
BACKGROUND PAPERS	None for the purposes of this report
APPENDICES	Appendix A - Audit Improvement Plan Activities
REPORT ORIGINATOR AND CONTACT	Robert Ford, Head of Strategic Planning & Knowledge Management Email: Robert.ford@dwfire.org.uk Tel: 01722 691094

1 Introduction

- 1.1 At the Finance, Governance and Audit meeting on the 22 March 2017 Members were taken through the improvement activities identified by Gateway Assure, the Authority's internal auditors, within their Quarter 1, 2 and 3 audits for 2016/17 and the progress undertaken.
- 1.2 During the same meeting Gateway Assure presented to Members their findings from the Quarter 4 audits (Dec-Mar).
- 1.3 The audits completed within Quarter 4 were:
 - Key Financial Controls
 - Culture Alignment and Development
- 1.4 The Key Financial Controls audit received confirmation of adequate assurance, with the Culture Alignment and Development receiving substantial assurance.

2 Improvement Areas

- 2.1 Although a number of recommendations were identified within the audits completed within Quarter 1, 2, 3 and 4, none were judged to represent a significant risk to the Authority.
- 2.2 All recommendations have been recorded within Appendix A (Audit improvement plan activities) where details of the Services progress have been set out.
- 2.3 As agreed with Members, those activities from the Quarter 1, 2 and 3 audits, that were reported as complete during the November 2016 meeting of the Finance, Governance and Audit Committee, have been removed from Appendix A.

3 Summary & key points

- 3.1 There are no significant areas of slippage to report to members. Progress continues to be regularly monitored by the Strategic Leadership Team. The Committee will receive an updated report at their next meeting on the 29 September 2017, which will include progress on the outstanding audit improvements.

Derek James

Director of Corporate Services
June 2017