



**DORSET & WILTSHIRE  
FIRE AND RESCUE  
AUTHORITY**

Item 11

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| MEETING                                  | Finance and Audit Committee  |
| DATE OF MEETING                          | 17 <sup>th</sup> November 2016   |
| SUBJECT OF THE REPORT                    | Strategic Risk Register  |
| STATUS OF REPORT                         | Open publication   |
| PURPOSE OF REPORT                        | For consideration and approval   |
| EXECUTIVE SUMMARY                        | This paper provides Members with the latest position of the strategic risk register and associated controls.   |
| RISK ASSESSMENT                          | Failure to successfully identify, monitor and manage risks may undermine the corporate reputation of the Authority and potentially heighten the risks facing it and the Service. |
| COMMUNITY IMPACT ASSESSMENT              | None for the purposes of this report   |
| BUDGET IMPLICATIONS                      | None for the purposes of this report   |
| RECOMMENDATIONS                          | It is recommended that the Finance and Audit Committee review and agree the strategic risks and proposed mitigations.  |
| BACKGROUND PAPERS                        | Risk appetite matrix   |
| APPENDICES                               | Appendix A - strategic risk register   |
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## 1. Introduction

- 1.1 As part of its terms of reference the Finance and Audit Committee is required to review the strategic risks on a quarterly basis. These were last reviewed and approved by the Committee on the 21 September 2016

## 2. Strategic Risk Register

- 2.1 Risks are being managed within the planning, performance and risk management system 'Cycle' and monitored at all levels throughout the Service. All risks are scored using the agreed risk appetite matrix and risks identified as scoring 15 and above are classified at strategic level.
- 2.2 The strategic risks are reviewed by the Service on a monthly basis, at the Strategic Leadership Team meeting, where progress against the controls are discussed and monitored.
- 2.3 Appendix A, strategic risk register, provides Members with details of the strategic risks, their current and targeted risk ratings and the controls planned to reduce and mitigate the risk to the targeted level.
- 2.4 Each risk has commentary provided by the identified risk owner to provide an update on the progress of the targeted controls and highlight any critical information to Members.
- 2.5 Risk 20 'Inability to achieve operational licencing through the loss of a fire behaviour training facility', reported within the strategic risk register to Members at their meeting in September 2016, has since been reduced to department level. This is due to the implementation of further mitigations; which includes an agreement with the existing site at Kemble Airfield for an extension to the contract until the new long-term site is fully operational.
- 2.6 Risk 3 'Failure to retain and attract key corporate staff with appropriate skills and experience' was also reported to Members at their meeting in September and since this meeting, the Service has undertaken an independent review of its corporate recruitment practices, and has a clear action plan that is being initiated. It is also pleasing to note that interim management interventions have allowed the Service to successfully recruit 18 posts, significantly reducing this risk. At the time of writing this the Service now has only 12 vacancies (7 in the process of recruitment and 5 awaiting managerial approval), with a further 5 vacancies being held, subject to a further managerial review. SLT have reviewed this risk and have determined that this risk is reduced and managed at department level, monitored by the Director.

## 3. Conclusion

- 3.1 Finance and Audit are asked to review and approve the strategic risk register included at Appendix A.
- 3.2 The Strategic Leadership Team will continue to review these risks on a monthly basis and a progress report will be brought to the Finance and Audit Committee at their next meeting on the 22<sup>nd</sup> March 2017.